

PATENT
Docket No. KV-36.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Thomas A. SILVESTRINI

Serial No.: 08/993,946

Filing Date: December 18, 1997

For: CORNEAL IMPLANT METHODS AND
PLIABLE IMPLANT THEREFOR



Examiner: D. Willse

Group Art Unit: 3738

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REVOCATION OF POWER OF ATTORNEY
OR AUTHORIZATION OF AGENT
AND CHANGE OF ADDRESS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application and hereby appoint:

Harry J. Macey (Reg. No. 32,818), KeraVision, Inc., 48630 Milmont Drive, Fremont, California 94538; and Antoinette F. Konski (Reg. No. 34,202), Baker & McKenzie, 660 Hansen Way, Palo Alto, CA 94304, Telephone: (650) 856-2400, Facsimile: (650) 856-9299

as our attorneys to prosecute the application identified above, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application and direct all future correspondence to:

Harry J. Macey
KeraVision, Inc.
48630 Milmont Drive
Fremont, California 94538
Telephone: (510) 353-3000
Facsimile: (510) 353-3030

Please direct all telephone calls to Harry J. Macey at (510) 353-3128.

We are the applicant of record of the entire interest. A Certificate under 37 C.F.R.
3.73(b) is enclosed.

Date: 9/18/00

KERAVISION, Inc.

By: Mark Fischer-Colbrie

Mark Fischer-Colbrie
Vice President and Chief
Financial Officer

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TECHNOLOGY CENTER 3700CERTIFICATE UNDER 37 CFR § 3.73(b)

KeraVision, Inc., a Delaware corporation certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor of the parent application identified above. The has been previously filed.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assigned as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel
_____, Frame _____, or for which a copy
thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel
_____, Frame _____, or for which a copy
thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ A copy of the assignment is attached..

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with

the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United State Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 9/18/00

By: Mark Fischer-Colbrie

Mark Fischer-Colbrie
Vice President and Chief
Financial Officer



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 15, 1998

PTAS

MORRISON & FOESTER LLP
RONALD D. DEVORE
755 PAGE MILL ROAD
PALO ALTO, CA 94304-1018

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MORRISON & FOERSTER

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/25/1998

REEL/FRAME: 9285/0753
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SILVESTRINI, THOMAS A.

DOC DATE: 06/02/1998

ASSIGNEE:

KERA VISION, INC.
48630 MILMONT DRIVE
FREMONT, CALIFORNIA 94538-7343

SERIAL NUMBER: 08993946
PATENT NUMBER:

FILING DATE: 12/18/1997
ISSUE DATE:

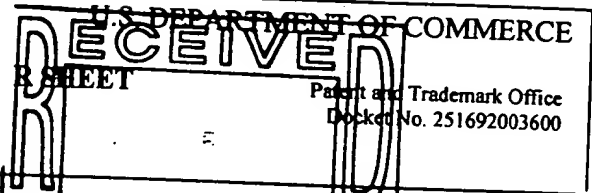
JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

07-09-1998



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MRD 6-25-98



To the Commissioner of Patents and Trademarks Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Thomas A. Silvestrini

- ☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: June 2, 1998

2. Name and address of receiving party(ies):

Name: KeraVision, Inc.
Internal Address: 48630 Milmont Drive
Street Address: 48630 Milmont Drive
City: Fremont, State: California ZIP: 94538-7343

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
08/993,946

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Ronald D. Devore
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account, referencing Attorney Docket 251692003600

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Ronald D. Devore
Registration No: 39,958

Signature

June 22, 1998
Date

Total number of pages comprising cover sheet, attachments and document: 4

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C:581

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Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
Office of Public Records
Box Assignments
Crystal Gateway 4, Room 335
Washington, D.C. 20231

pa-282205

ASSIGNMENT SOLE

THIS ASSIGNMENT, by Thomas A. Silvestrini (hereinafter referred to as the assignor), residing at 1701 Las Trampas Road, Alamo, CA 94507, witnesseth:

WHEREAS, said assignor has invented certain new and useful improvements in CORNEAL IMPLANT METHODS AND PLIABLE IMPLANT THEREFOR, set forth in an application for Letters Patent of the United States, bearing Serial No. 08/993,946 and filed on December 18, 1997; and

WHEREAS, KeraVision, Inc., a corporation duly organized under and pursuant to the laws of California and having its principal place of business at 48630 Milmont Drive, Fremont, California 94538-7353 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successor, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use said assignee, its successors, legal representatives and assigns.

6/2/98
Date

Thomas A. Silvestrini
Thomas A. Silvestrini